

Programming Paradigms

Composite Types (Part 3)

Prof. Dr. Michael Pradel

Software Lab, University of Stuttgart

Summer 2020

Quiz

What does the following C code print?

```
char *cptr = (char *) 0x1000;
```

```
int *iptr = (int *) 0x1000;
```

```
void *a = cptr+4;
```

```
void *b = iptr+4;
```

```
printf("%p %p\n", a, b);
```

Quiz

What does the following C code print?

```
char *cptr = (char *) 0x1000;
```

```
int *iptr = (int *) 0x1000;
```

```
void *a = cptr+4;
```

```
void *b = iptr+4;
```

```
printf("%p %p\n", a, b);
```

Result: 0x1004 0x1010

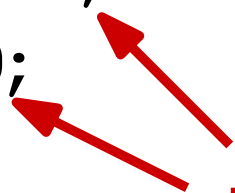
Quiz

What does the following C code print?

```
char *cptr = (char *) 0x1000;  
int *iptr = (int *) 0x1000;
```

```
void *a = cptr+4;  
void *b = iptr+4;
```

```
printf("%p %p\n", a, b);
```



Two pointers
initialized with
hexadecimal
numbers.

Result: 0x1004 0x1010

Please vote via Ilias.

Quiz

What does the following C code print?

```
char *cptr = (char *) 0x1000;  
int *iptr = (int *) 0x1000;
```

```
void *a = cptr+4;  
void *b = iptr+4;
```

```
printf("%p %p\n", a, b);
```

Adding $4 * \text{size}(t)$ to each pointer, where t is the type the pointer refers to.

Result: 0x1004 0x1010

Overview

- **Records**
- **Arrays**
- **Pointers and Recursive Types** ←

 - Operations on Pointers
 - Pointers and Arrays in C
 - Dangling References
 - Garbage Collection

Motivation

- Most programs handle **complex data**
- **“Linked” data structures** to represent them
 - Lists
 - Trees
 - Graphs
- Often: Want **reference to objects of same type**

Pointers and Recursive Types

- **Pointer**: Reference to location of memory object
 - Essentially, an address
- **Recursive type**: Composite type with reference to objects of the same type

Reference vs. Value Model

PLs with reference model of variables

- No need for explicit pointers
- Fields simply refer to object of same (or other) type

PLs with value model of variables

- Need explicit pointers to refer to objects
- Otherwise, would always copy the entire memory object

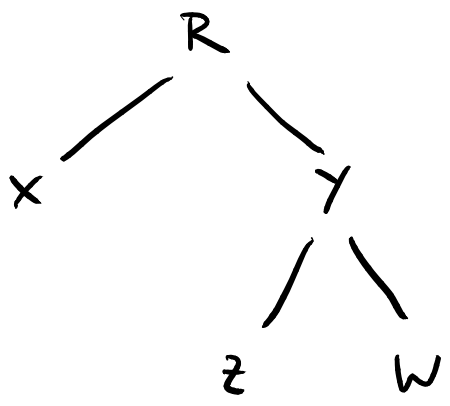
Example: Tree in OCaml

```
type chr_tree =  
  Empty |  
  Node of char * chr_tree * chr_tree;;
```

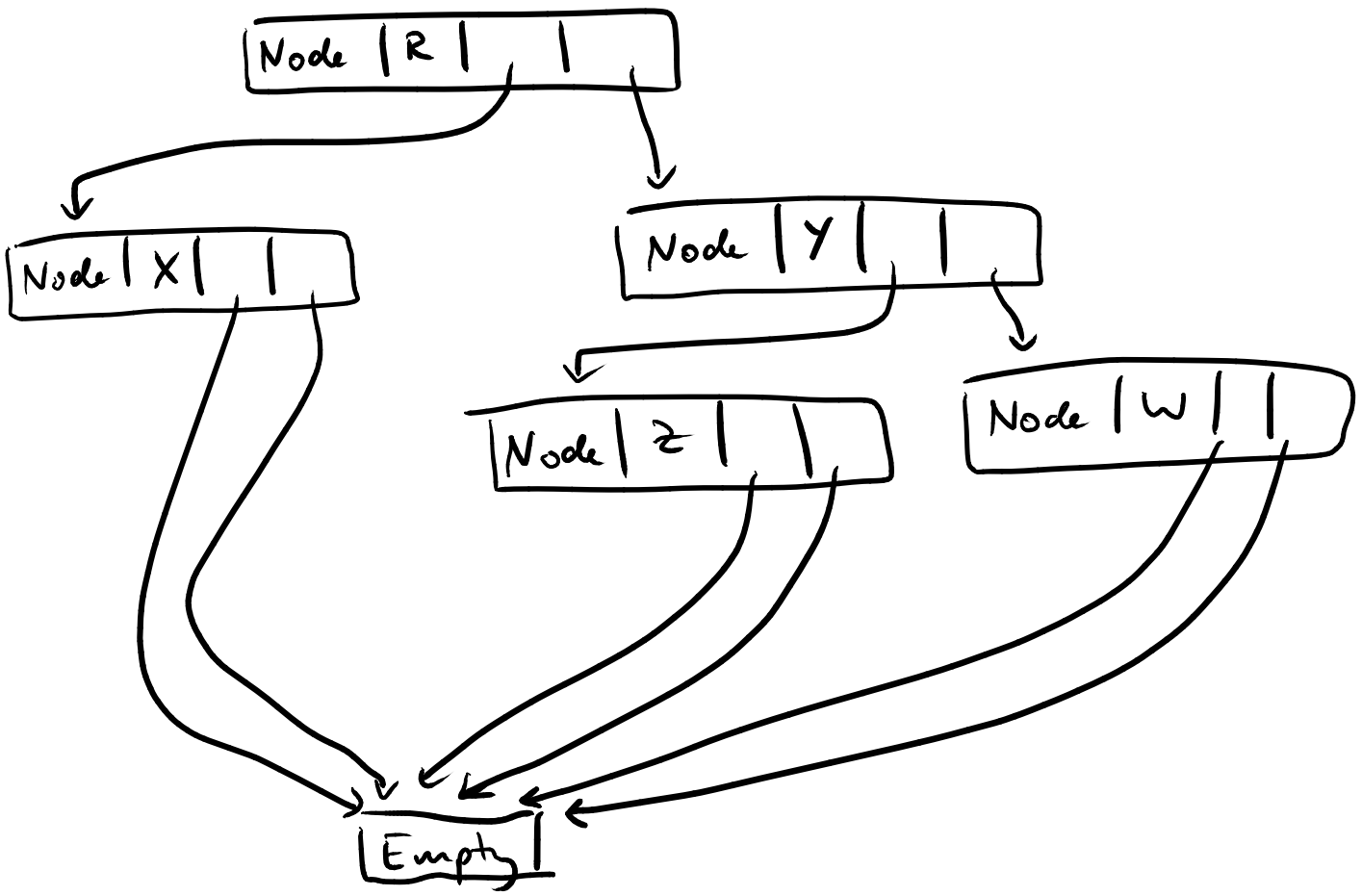
Tuple type with fields
separated by *

Example: OCaml

Conceptually:




In memory:

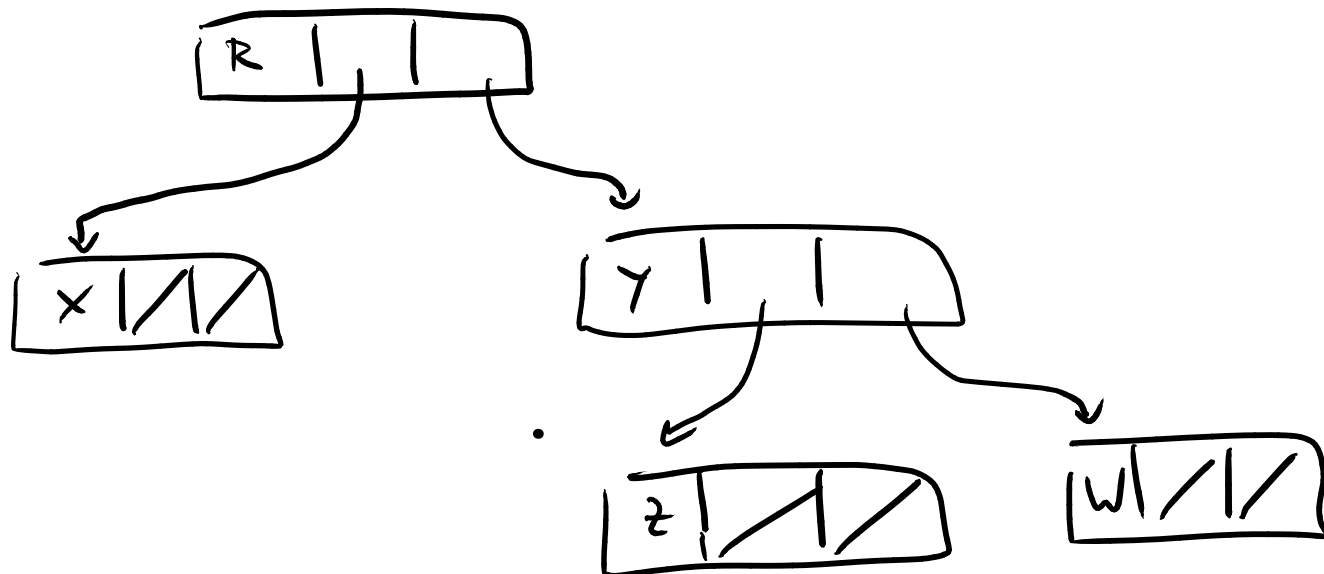


Example: Tree in C

```
struct chr_tree {  
    struct chr_tree *left, *right;  
    char var;  
};
```


Pointers to objects of type
struct chr_tree

Example: C



□ means null pointer

Operations on Pointers

- **Creation**
- **Allocation**
- **Dereference**
- **Deallocation**

Operations on Pointers

- **Creation**
- **Allocation**
- **Dereference**
- **Deallocation**



**Handled differently
in different PLs**

Creating Pointers

- **Implicit** when calling a constructor
- **Built-in function** that allocates heap memory and returns reference to it
- **Address-of operator**

Creating Pointers

- **Implicit** when calling a constructor
- **Built-in function** that allocates heap memory and returns reference to it
- **Address-of operator**

→ Example (C++):

```
my_ptr = new chr_tree(/* ... */);
```

Creating Pointers

- **Implicit** when calling a constructor
- **Built-in function** that allocates heap memory and returns reference to it
- **Address-of operator**

Example (C):

```
my_ptr = malloc(sizeof(struct chr_tree) );
```

Creating Pointers

- **Implicit** when calling a constructor
- **Built-in function** that allocates heap memory and returns reference to it
- **Address-of operator**



Example (C):

```
int n = 3;  
my_ptr = &n;
```

Allocating Memory

- **Pointer** itself is only an **address**
- **Need sufficient memory** to hold the object it refers to
- **Memory allocation**
 - Implicit on some PLs (e.g., OCaml, Java)
 - Explicit in other PLs (e.g., C)

Allocating Memory

- **Pointer** itself is only an **address**
- **Need sufficient memory** to hold the object it refers to
- **Memory allocation**
 - Implicit on some PLs (e.g., OCaml, Java)
 - Explicit in other PLs (e.g., C)

→ **Example (OCaml):**

```
let t = Node('R', Empty, Empty);;
```

Allocating Memory

- **Pointer** itself is only an **address**
- **Need sufficient memory** to hold the object it refers to
- **Memory allocation**
 - Implicit on some PLs (e.g., OCaml, Java)
 - Explicit in other PLs (e.g., C)



Example (C):

```
my_ptr = malloc(sizeof(struct chr_tree));  
// fill object with content
```

Dereferencing a Pointer

Access memory object a pointer refers to

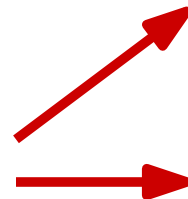
- Access entire object
 - Dereferencing operator
- Access fields of record that the pointer refers to
 - Right-arrow notation
 - Dot notation:
 - Implicit dereferencing

Dereferencing a Pointer

Access memory object a pointer refers to

- Access entire object

- Dereferencing operator



Example (Pascal):

```
my_ptr^.val := 'X';
```

Example (C):

```
(*my_ptr).val = 'X';
```

- Access fields of record that the pointer refers to


- Right-arrow notation

- Dot notation:

Implicit dereferencing

Dereferencing a Pointer

Access memory object a pointer refers to

- Access entire object
 - Dereferencing operator
- Access fields of record that the pointer refers to
 - Right-arrow notation  Example (C):
`my_ptr->val = 'X' ;`
 - Dot notation:
Implicit dereferencing

Dereferencing a Pointer

Access memory object a pointer refers to

- Access entire object
 - Dereferencing operator
- Access fields of record that the pointer refers to

- Right-arrow notation

- Dot notation:

Implicit dereferencing

Example (Ada):

```
T : chr_tree;  
P : chr_tree_ptr;  
...  
T.val := 'X';  
P.val := 'Y';
```



Deallocation

- **Memory must be reclaimed at some point**
 - Otherwise: **Memory leak** and, eventually, out-of-memory
- **Explicit deallocation by programmer**
 - E.g., C, C++, Rust
- **Implicit deallocation by runtime: Garbage collection**
 - E.g., Java, C#, Python

Deallocation: Example

```
#include <stdlib.h>
#include <stdio.h>

int main(void)
{
    char *line = NULL;
    size_t size = 0;
    for(;;) {
        /* read line from stdin;
           implicitly allocates memory */
        getline(&line, &size, stdin);
        // ...

        line = NULL;
    }
    return 0;
}
```

Deallocation: Example

```
#include <stdlib.h>
#include <stdio.h>

int main(void)
{
    char *line = NULL;
    size_t size = 0;
    for(;;) {
        /* read line from stdin;
           implicitly allocates memory */
        getline(&line, &size, stdin);
        // ...

        line = NULL;
    }
    return 0;
}
```

**Memory leak:
Each iteration
allocates memory
that gets never freed.**



Deallocation: Example

```
#include <stdlib.h>
#include <stdio.h>
```

```
int main(void)
```

```
{
```

```
    char *line = NULL;
```

```
    size_t size = 0;
```

```
    for(;;) {
```

```
        /* read line from stdin;
```

```
           implicitly allocates memory */
```

```
        getline(&line, &size, stdin);
```

```
        // ...
```

```
        free(line);
```

```
        line = NULL;
```

```
    }
```

```
    return 0;
```

```
}
```

**Fix: Free memory
in each iteration**



Quiz: Memory Leak

How many bytes of memory are leaked when executing the following code?

Assumption: ints occupy four bytes

```
int *c;
for (int i = 0; i < 5; i += 2) {
    c = malloc(sizeof(int));
    if (i % 4 == 0) {
        free(c);
    }
}
```

Quiz: Memory Leak

How many bytes of memory are leaked when executing the following code?

Assumption: ints occupy four bytes

```
int *c;
for (int i = 0; i < 5; i += 2) {
    c = malloc(sizeof(int));
    if (i % 4 == 0) {
        free(c);
    }
}
```

Answer: 4 bytes

Please vote via Ilias.

Programming Paradigms


Composite Types (Part 4)

Prof. Dr. Michael Pradel

Software Lab, University of Stuttgart

Summer 2020

Overview

- **Records**
- **Arrays**
- **Pointers and Recursive Types**
 - Operations on Pointers
 - Pointers and Arrays in C 
 - Dangling References
 - Garbage Collection

Pointers and Arrays in C

- **Closely linked language constructs**
- **Example**

```
int n;  
int *a;  
int b[5] = {1, 2, 3, 4, 5};
```

```
a = b;  
n = a[3];  
n = *(a+3);  
n = b[3];  
n = *(b+3);
```

Pointers and Arrays in C

- **Closely linked language constructs**
- **Example**

```
int n;  
int *a;  
int b[5] = {1, 2, 3, 4, 5};
```

```
a = b;  
n = a[3];  
n = *(a+3);  
n = b[3];  
n = *(b+3);
```

**Pointer to the initial
element of b**



Pointers and Arrays in C

- **Closely linked language constructs**
- **Example**

```
int n;  
int *a;  
int b[5] = {1, 2, 3, 4, 5};
```

```
a = b;  
n = a[3];  
n = *(a+3);  
n = b[3];  
n = *(b+3);
```



All store 4 into n

Array Access = Pointer Arithmetic

- **Subscript operator [] defined in terms of pointer arithmetic:**

$E1 [E2]$ means $(* ((E1) + (E2)))$

- For any expressions $E1$ and $E2$

- **E.g., $arr [3]$ is equivalent to $3 [arr]$**

More Pointer Arithmetic

Arithmetic operations beyond addition

- Subtraction

- Get distance between two elements:

$p1 - p2$ where both are pointers to elements in the same array

- Comparison

- Check if one element is at higher index than another:

$p1 > p2$

- All scaled according to type of pointer

Difference: Allocation

Main difference between arrays and pointers

- **Arrays** are **implicitly allocated**:

`int arr[10];` allocates space for ten ints

- **Pointers** must be **explicitly allocated**:

`int *arr;` does not allocate anything

Overview

- **Records**
- **Arrays**
- **Pointers and Recursive Types**
 - Operations on Pointers
 - Pointers and Arrays in C
 - Dangling References ←
 - Garbage Collection

Dangling References

- **Dangling reference**: Live pointer that no longer points to a valid object
- Dual problem to memory leaks
- Created when
 - **Pointer to stack object escapes** to surrounding context
 - **Heap object is explicitly deallocated**, but pointer lives on
- **Behavior of dereferencing: Undefined**

Quiz: Dangling References

At which line(s) does this C code use a dangling reference?

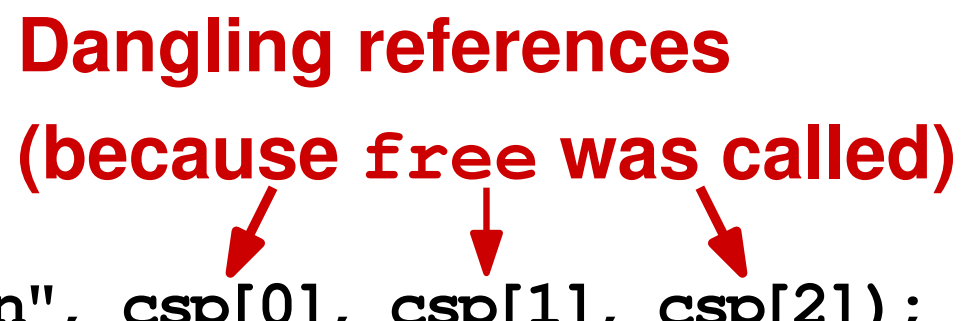
```
1  char *foo() {
2      char *cp = malloc(sizeof(char));
3      cp[0] = 'b';
4      return cp;
5  }
6  int main(void) {
7      char *csp = malloc(3 * sizeof(char));
8      csp[0] = 'a';
9      csp[1] = *foo();
10     csp[2] = 'c';
11     free(csp);
12     printf("%c %c %c\n", csp[0], csp[1], csp[2]);
13 }
```

Quiz: Dangling References

At which line(s) does this C code use a dangling reference?

```
1  char *foo() {
2      char *cp = malloc(sizeof(char));
3      cp[0] = 'b';
4      return cp;
5  }
6  int main(void) {
7      char *csp = malloc(3 * sizeof(char));
8      csp[0] = 'a';
9      csp[1] = *foo();
10     csp[2] = 'c';
11     free(csp);
12     printf("%c %c %c\n", csp[0], csp[1], csp[2]);
13 }
```

Dangling references
(because free was called)



Overview

- **Records**
- **Arrays**
- **Pointers and Recursive Types**
 - Operations on Pointers
 - Pointers and Arrays in C
 - Dangling References
 - Garbage Collection ←

Garbage Collection

- **Memory deallocation managed by PL implementation**
 - Avoids dangling references
 - Programmer can focus on other aspects of the code
- **Common in “managed languages”, e.g., Java, Python, JavaScript**

Reference Counts

How to implement garbage collection?

- One **counter** for each memory object
- **Increment** when new pointer to object created
- **Decrement** when pointer gets destroyed
 - E.g., for pointers to local variables, on function return
- Deallocate **“useless” objects**, i.e., with **reference count zero**

Circular Dependencies

- **Problem of naive implementation:**
Circular data structures
 - Memory object may be “useless” despite having references pointing to it

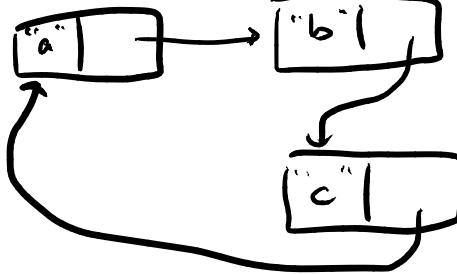
Example: Circular Data Structure

Stack

list_ptr

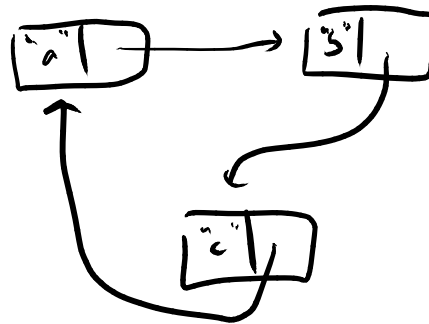


Heap



list_ptr = NULL

list_ptr



Circular Dependencies

- **Problem of naive implementation:**

- **Circular data structures**

- Memory object may be “useless” despite having references pointing to it

- **Better approach**

- Object o is “useless” unless a **chain of valid pointers from something that has a name to o exists**

Mark and Sweep

Algorithm to identify useless blocks

- Walk heap and **mark every block as useless**
- Start from external references (i.e., names in program) and **mark every reachable block as useful**
- Move all **useless blocks to free list**
 - Free list: Data structure to maintain free heap space

Optimizations and Other Algorithms

- **Various improvements of simple mark and sweep**
 - **Pointer reversal**: Traversal without a stack of visited blocks
 - **Stop-and-copy**: Prevent fragmentation
 - **Generational garbage collection**: Maintain older and newer memory objects in separate subheaps